

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 11

Complete if known

Application Number	09/724,288
Filing Date	November 28, 2000
First Named Inventor	Schenk, Dale B.
Art Unit	1649
Examiner Name	Daniel E. Kolker
Attorney Docket Number	15270J-004765US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	953	2008/0096818	04-24-2008	Schenk et al.	
	945	2008/0050367 A1	02-28-2008	Basi et al.	
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	966	2006/0153772	07-13-2006	Jacobsen	
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Sheet	2	of	11	Attorney Docket Number	1527QJ-004765US

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U.S. APPLICATIONS					
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		Number Kind Code ² (if present)			
	988	12/106,206	04-18-2008	Schroeter	
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	990	60/999,423	10-17-2007	Black	
	991	11/894,789	08-20-2007	Basi	
	992	11/894,754	08-20-2007		
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		Examiner Name	Daniel E. Kolker		
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	1007	11/841,950	08-20-2007	Arumugham	
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	1009	11/841,897	08-20-2007	Arumugham	
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		Number	Kind Code ² (if known)			
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	1041	09/724,575		11-28-2000	Schenk	
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	1063	60/139,408		06-16-1999	Raso	
	1064	60/137,047		06-01-1999	Hirtzer	
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	1068	09/204,838		12-03-1998	Weiner	
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Examiner Initials*	Cite No.	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	† ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	1070	EP	1 033 998	B1	10-19-2005			
	921	EP	0 391 714	A2	10-10-1990			
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	1072	WO	06/081587	A2	08-03-2006			<input type="checkbox"/>
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	1073	WO	05/058941	A2	06-30-2005			<input type="checkbox"/>
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	935	WO	04/080419	A3	09-23-2004			<input type="checkbox"/>
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	1078	WO	02/096457	A2	12-05-2002			<input type="checkbox"/>
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	927	AISEN, P., "Inflammation and Alzheimer's Disease: Mechanisms and Therapeutic Strategies," <i>Gerontology</i> , 43:143-149 (1997).		<input type="checkbox"/>
	912	AKIYAMA et al., "The amino-terminally truncated forms of amyloid β -protein in brain macrophages in the ischemic lesions of Alzheimer's disease patients," <i>Neuroscience Letters</i> , 219:115-118 (1996).		<input type="checkbox"/>
	1047	ALBERTS et al., eds. <i>Molecular Biology of The Cell, Third Edition</i> , chapter 23, pages 1216-1218 (1994).		<input type="checkbox"/>
	875	ARD et al., "Scavenging of Alzheimer's Amyloid β -Protein by Microglia in Culture," <i>J. Neuroscience Research</i> , 43:190-202 (1996).		<input type="checkbox"/>
	561	BELLOTTI et al., "Application of Monoclonal Anti-idiotypes in the Study of AL Amyloidosis: Therapeutic Implications," <i>Renal Failure</i> , 15(3):365-371 (1993).		<input type="checkbox"/>
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	746	BRAZIL et al., "Effects of Incorporation of Immunoglobulin G and Complement Component C1q on Uptake and Degradation of Alzheimer's Disease Amyloid Fibrils by Microglia," <u>J. Biol. Chem.</u> , 275(22):16941-16947 (2000).	<input type="checkbox"/>	
	915	BRITT et al., "Formulation of an immunogenic human cytomegalovirus vaccine: responses in mice," <u>J. Infect. Dis.</u> , 171:18-25 Abstract (1995).	<input type="checkbox"/>	
	1085	CASSET et al., "A Peptide Mimetic of an Anti-CD4 Monoclonal Antibody By Rational Design," <u>Biochemical and Biophysical Research Communications</u> , 307:198-205 (2003).	<input type="checkbox"/>	
	932	CHAKRABARTI et al., "Vaccinia Virus Expression Vector: Coexpression of B-Galactosidase Provides Visual Screening of Recombinant Virus Plaques," <u>Molecular and Cellular Biology</u> , 5(12):3403-3409 (1985).	<input type="checkbox"/>	
	930	CHANG et al., "Adjuvant activity of incomplete Freund's adjuvant," <u>Advanced Drug Delivery Reviews</u> , 32:173-186 (1998).	<input type="checkbox"/>	
	639	Chimicon International, "Mouse Anti-Amyloid Beta Protein Monoclonal Antibody," Catalog # MAB1561 (2003-2005).	<input type="checkbox"/>	
	1091	CLARK et al., <u>Chemical Immunology Antibody Engineering IgG Effector Mechanisms</u> , 65:88-110 (1997).	<input type="checkbox"/>	
	1046	COLMAN, "Effects of Amino Acid Sequence Changes On Antibody-Antigen Interactions," <u>Research In Immunology</u> , 145:33-36 (1994).	<input type="checkbox"/>	
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	908	COREY-BLOOM et al., "Clinical features distinguishing large cohorts with possible AD, probable AD, and mixed dementia," <u>J. Am. Geriatr. Soc.</u> , 41(1):31-37 Abstract (1993).	<input type="checkbox"/>	

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	745	DEWITT et al., "Astrocytes regulate microglial phagocytosis of senile plaque cores of Alzheimer's disease," <i>Experimental Neurology</i> , 149:329-340 (1998).	<input type="checkbox"/>	
	220	Dialog/Derwent, Abstract of WPI Acc No: 1997-054436/199706: Stable vaccine compns. - comprise a macrocyclic lactone, a milbemycin, an avermectin, an antigen, a dispersing agent, an adjuvant, a water sol. organic solvent and saline or water, Derwent File 351: Derwent WPI database (1997).	<input type="checkbox"/>	
	910	DREW et al., "Vaccination by cholera toxin conjugated to a herpes simplex virus type 2 glycoprotein D peptide," <i>Journal of General Virology</i> , 73:2357-2366 (1992).	<input type="checkbox"/>	
	920	Ecuador Patent Application No. SP 98-2764, English translation of Expert Report submitted April 19, 2007 in support of the Appeal filed on July 29, 2005.	<input type="checkbox"/>	
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	941	European Examination Report of 09/26/2007 for European Application 04720353.4-1222.	<input type="checkbox"/>	
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	1081	GIBSON et al., "Abnormalities in Alzheimer's Disease Fibroblasts Bearing the APP670/671 Mutation," <i>Neurobiology of Aging</i> , 18(6):573-580 (1997).	<input type="checkbox"/>	
	664	HARLOW et al., eds., <i>Antibodies: A Laboratory Manual</i> , pages 71-82 (1998).	<input type="checkbox"/>	
	931	HARLOW et al., eds., <i>Antibodies: A Laboratory Manual</i> , page 98 (1988).	<input type="checkbox"/>	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **9**

of

12**Complete if Known**

Application Number 09/724,288

Filing Date November 28, 2000

First Named Inventor Schenk, Dale B.

Art Unit 1649

Examiner Name Daniel E. Kolker

Attorney Docket Number 15270J-004765US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	559	HERLYN et al., "Monoclonal antibodies in cell-mediated cytotoxicity against human melanoma and colorectal carcinoma", <u>Eur. J. Immunol.</u> , 9:657-659 (1979).	<input type="checkbox"/>
	1086	HOLMES et al., "Long-term Effects of A β ₄₂ Immunisation in Alzheimer's Disease: Follow-up of a Randomised, Placebo-controlled Phase I Trial", <u>Lancet</u> , 372: 216-223 (2008).	<input type="checkbox"/>
	1090	HOPP et al., "Prediction of protein antigenic determinants from amino acid sequences," <u>Proc. Natl. Acad. Sci. USA</u> 78:3824-3828 (1981).	<input type="checkbox"/>
	1092	HUSSAIN et al., "Selective Increases in Antibody Isotopes and Immunoglobulin G Subclass Responses to Secreted Antigens in Tuberculosis Patients and Healthy Household Contacts of the Patients," <u>Clinical and Diagnostic Laboratory Immunology</u> , 2(6): 726-732 (1995).	<input type="checkbox"/>
	1087	HYSLOP et al., "Will Anti-amyloid Therapies Work For Alzheimer's Disease?," <u>Lancet</u> , 372:180-182 (2008).	<input type="checkbox"/>
	560	JAHRING et al., "Opsonization of Alphaviruses in Hamsters," <u>J. Medical Virology</u> , 12:1-16 (1983).	<input type="checkbox"/>
	929	JANSEN et al., "Use of Highly Encapsulated <i>Streptococcus pneumoniae</i> Strains in a Flow-Cytometric Assay for Assessment of the Phagocytic Capacity of Serotype-Specific Antibodies," <u>Clinical & Diagnostic Lab. Immunol.</u> , 5(5):703-710 (1998).	<input type="checkbox"/>
	1049	MACCALLUM et al., "Antibody-antigen Interactions: <u>Contact Analysis and Binding Site Topography</u> , 262:732-745 (1996).	<input type="checkbox"/>
	904	MAMIKONYAN et al., "Anti-A β ₁₋₁₁ Antibody Binds to Different β -Amyloid Species, Inhibits Fibril Formation, and Disaggregates Preformed Fibrils but Not the Most Toxic Oligomers," <u>J. Biol. Chem.</u> , 282(31) 22376-22386 (2007).	<input type="checkbox"/>

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			<i>Filing Date</i>	November 28, 2000
			<i>First Named Inventor</i>	Schenk, Dale B.
			<i>Art Unit</i>	1649
			<i>Examiner Name</i>	Daniel E. Kolker
(Use as many sheets as necessary)			<i>Attorney Docket Number</i>	15270J-004765US
Sheet	10	of	12	

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	913	MANNING et al., "Genetic Immunization with Adeno-Associated Virus Vectors Expressing Herpes Simplex Virus Type 2 Glycoproteins B and D," <i>Journal of Virology</i> , 71(10):7960-7962 (1997).	<input type="checkbox"/>	
	1082	MASLIAH et al., "Amyloid Protein Precursor Stimulates Excitatory Amino Acid Transport," <i>The Journal of Biological Chemistry</i> , 273(20):12548-12554 (1998).	<input type="checkbox"/>	
	1088	NASHAR et al., "Current progress in the development of the B subunits of cholera toxin and Escherichia coli heat-labile enterotoxin as carries for the oral delivery of heterologous antigens and epitopes," <i>Vaccine</i> , 11(2):235-40 (1993), abstract only.	<input type="checkbox"/>	
	933	PCT International Preliminary Examination Report of 02/09/2004 for application PCT/US01/46587.	<input type="checkbox"/>	
	919	PCT Written Opinion of 12/14/04 for application PCT/US04/02856.	<input type="checkbox"/>	
	937	PCT International Preliminary Report on Patentability (Chapter I) of 09/16/2005 with Written Opinion of 05/09/2005 for application PCT/US04/007503.	<input type="checkbox"/>	
	1075	PCT International Preliminary Report on Patentability (Chapter II) of 12/21/2006 for application PCT/US2006/002837.	<input type="checkbox"/>	
	1080	PCT International Preliminary Report on Patentability (Chapter I) of 07/31/2007 with Written Opinion for application PCT/US2006/004741.	<input type="checkbox"/>	
	1076	PCT Written Opinion of 8/11/2006 for application PCT/US2006/002837.	<input type="checkbox"/>	
	938	PCT International Preliminary Report on Patentability (Chapter II) of 04/27/2006 for application PCT/US04/007503.	<input type="checkbox"/>	

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			Art Unit	1649	
			Examiner Name	Daniel E. Kolker	
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	1042	PNAS Information for Authors (revised January 1997), Retrieved 21 April 2008 from http://web.archive.org/web/19970610092808/www.pnas.org/forc.shtml .	<input type="checkbox"/>
	928	RAMMENSEE, H.G., "Chemistry of peptides associated with MHC class I and class II molecules," <u>Current Opinion in Immunology</u> , 7:85-96 (1995).	<input type="checkbox"/>
	926	RODRIGUEZ et al., "Enfermedad de Alzheimer. Situación Actual y Estrategias Terapéuticas" (Alzheimer Disease: present situation and therapeutic strategies), <u>Rev Cubana Med [online]</u> , 38(2):134-142 (1999).	<input type="checkbox"/>
	1089	ROLPH et al., "Recombinant viruses as vaccines and immunological tools," <u>Immunity to Infection</u> , 9:517-521 (1997).	<input type="checkbox"/>
	1083	SMALL, "The Role of the Amyloid Protein Precursors (APP) in Alzheimer's Disease: Does the Normal Function of APP Explain the Topography of Neurodegeneration?," <u>Neurochemical Research</u> , 23(5):795-806 (1997).	<input type="checkbox"/>
	186	SOLOMON et al., "Activity of monoclonal antibodies in prevention of in vitro aggregation of their antigens," <u>Immunotechnology</u> , 2(4):305 (1996).	<input type="checkbox"/>
	950	SOLOMON et al., "Fast induction of anti-β-amyloid peptide immune response," <u>Research and Practice in Alzheimer's Disease</u> , 6:260-264 (2002).	<input type="checkbox"/>
	914	SPELLERBERG et al., "DNA Vaccines Against Lymphoma," <u>Journal of Immunology</u> , 159:1885-1892 (1997).	<input type="checkbox"/>
	1093	STAUNTON et al., "Primary structures of ICAM-1 demonstrates interaction between members of the immunoglobulin and integrin supergene families," <u>Cell</u> 52(6):925-33 (1988), abstract only.	<input type="checkbox"/>
	939	Supplementary Partial European Search Report of 04/10/2007 for European Application 04720353.4-1222.	<input type="checkbox"/>

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Sheet **12** of **12****NON PATENT LITERATURE DOCUMENTS**

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	907	TANG et al., "Genetic immunization is a simple method for eliciting an immune response," <u>Nature</u> , 356:152-154 (1992).	<input type="checkbox"/>
	952	ULVESTAD et al., "Fc Receptors for IgG on Cultured Human Microglia Mediate Cytotoxicity and Phagocytosis of Antibody-coated Targets," <u>Journal of Neuropathology and Experimental Neurology</u> , 53(1):27-36 (1994).	<input type="checkbox"/>
	936	VANDERSTICHELE et al., "Standardization of Measurement of B-amyloid(1-42) in Cerebrospinal Fluid and Plasma;" <u>Int. J. Exp. Clin. Invest.</u> , 7(4):245-258 (2000).	<input type="checkbox"/>
	569	VERSHIGORA A. E. <u>OBSHCAYA IMMUNOLOGIYA</u> , pages 35, 229-231 and 152-153 (1990).	<input type="checkbox"/>
	916	WINBLAD et al., "Hints of a therapeutic Vaccine for Alzheimer's?" <u>Neuron</u> , 38:517-519 (2003).	<input type="checkbox"/>
	223	WISCONSIN ALUMNI RESEARCH FOUNDATION, "Injection of Newborn Mice with Seven Chemical Adjuvants to Help Determine Their Safety in Use in Biologicals", U.S. Govt. Res. Develop. Rep., 70(24), 56 (1969).	<input type="checkbox"/>
	909	XIANG et al., "Manipulation of the immune response to a plasmid-encoded viral antigen by coinoculation with plasmids expressing cytokines," <u>Immunity</u> , 2(2):129-135 Abstract (1995).	<input type="checkbox"/>
	917	YANKNER et al., "Neurotrophic and Neurotoxic effects of Amyloid β Protein: Reversal by Tachykinin Neuropeptides," <u>Science</u> , 250:279-282 (1990).	<input type="checkbox"/>
	793	ZAMEER et al., "Single Chain Fv Antibodies against 25-35 Peptide Fragment of Amyloid- β : Potential Therapeutic for Alzheimer's Disease," Abstract P4-420, Page S593, presented at Poster Session P4: Therapeutics and Therapeutic Strategies-Therapeutic Strategies, Amyloid-Based, also <u>Neurobiology of Aging</u> , 25(Suppl. 2): p S593 (July 2004).	<input type="checkbox"/>

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